

Webinar: The new Monitoring and Evaluation (M&E) Framework for Partnerships

18 September 2023

Welcome and introduction

Inga Elizabeth Bruskeland (ERA-LEARN)

Aim of the webinar

- Capitalising on the experiences of the individual partnerships and the common monitoring and evaluation framework of Horizon Europe, the workshop aims at:
 - establishing common understanding of the requirements of the new monitoring and evaluation framework of partnerships under Horizon Europe
 - offer participants and member state officials a hands-on experience in designing and advancing their monitoring and evaluation framework in view of the preparation of the BMR 2024.

Agenda of the webinar

		Agenda	Speaker
		Moderation: Inga Elizabeth Bruskeland (ERA-LEARN)	
13:00	13:05	Welcome and introduction to ERA-LEARN	Inga Elizabeth Bruskeland (ERA-LEARN)
13:05	13:20	European Partnerships: policy & governance	Marion Jamard (EC, DGRTD); Jari Romanainen (Expert Group Rapporteur)
13:20	13:30	The BMR 2024 thematic focus	Dubravka Skunca (Expert Group)
13:30	13:45	New monitoring framework: the common indicators survey	Effie Amanatidou (Expert Group)
13:45	14:00	Questions and answers	Moderated by Krzysztof Gulda (Expert Group)
14:00	14:15	New monitoring framework: requirements for Member States / Associated Countries	Ülle Napa (Expert Group)
14:15	14:30	Questions and answers	Moderated by Krzysztof Gulda (Expert Group)
14:30	14:45	Break	
14:45	15:00	New monitoring framework: requirements for Partnerships	Michael Doods (Expert Group)
15:00	15:15	Questions and answers	Moderated by Krzysztof Gulda (Expert Group)
15:15	15:30	Lessons learnt from assisting the partnerships and countries in the last BMR	Members of the Expert Group
15:30	15:50	Overall discussion (+Poll)	Moderated by Inga Elizabeth Bruskeland (ERA-LEARN); Support by Krzysztof Gulda (Expert Group)
15:50	16:00	Next Steps & wrap up	Marion JAMARD (EC, DG RTD); Inga Elizabeth Bruskeland (ERA-LEARN)

ERA-LEARN: central information hub for European Partnerships

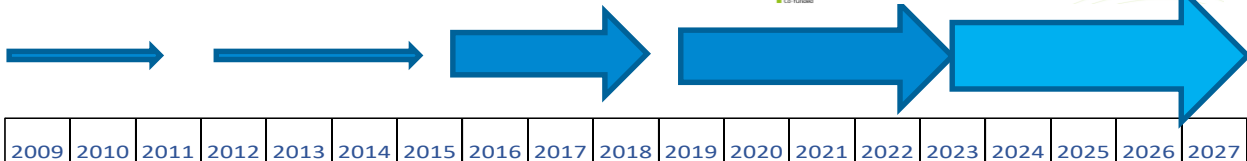
18 Sep 2023

ERA-LEARN: service provider & facilitator

- consortium of funding organisations and analysts
- providing support & guidance to the community since 2009
- supporting the European Partnerships under Horizon Europe
- **new 4-year phase since 1 July 2023**

PILLAR II - Global challenges & European industrial competitiveness				PILLAR III - Innovative Europe	
Cluster 1: Health	Cluster 4: Digital, industry and space	Cluster 5: Climate, energy and mobility	Cluster 6: Food, bioeconomy, natural resources, agriculture and environment	ETI: The European Institute of Innovation and Technology	European innovation ecosystems
Innovative Health Initiative	Key Digital Technologies	Clean Hydrogen	Circular Bio-based Europe	ETI InnoEnergy	Innovative SMEs
Global Health EDCTP3	Smart Networks and Services	Clean Aviation	Biodiverca+	Climate-KIC	
Transformation of Health Care Systems	High Performance Computing	Single European Sky ATM Research 3	Blue Economy	ETI Digital	
Risk Assessment of Chemicals	European Metrology (Art. 185)	Europe's Rail	Water4All	ETI Food	
ERA for Health	AI-Data-Robotics	Connected, Cooperative and Autonomous Mobility	Animal Health and Welfare	ETI Health	
Rare Diseases	Photonics	Batteries	Accelerating Farming Systems Transition	ETI Raw materials	
Over-Health Antimicrobial Resistance	Made in Europe	Zero-emission Waterborne Transport	Agriculture of data	ETI Manufacturing	
Personalised Medicine	Clean Steel - Low Carbon Steelmaking	Zero-emission Road Transport	Safe and Sustainable Food Systems	ETI Urban Mobility	
Paradigmatic Preventive	Process4Planet	Built4People		Cultural and Creative Sectors and Industries	
	Globally Competitive Space Systems	Clean Energy Transition			
		Driving Urban Transition			
				CROSS-PILLARS II and III European Open Science Cloud	

■ Institutionalised partnerships (Art 185/7, ETI KICs)
■ Co-programmed
■ Co-funded



Central information hub: the ERA-LEARN portal <https://www.era-learn.eu>



ERA-LEARN reaches out to all stakeholders:

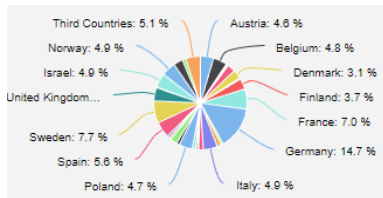
- Policy level
- Network administrators
- Researchers



Partnerships in a nutshell

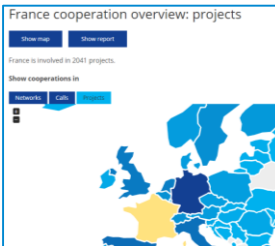
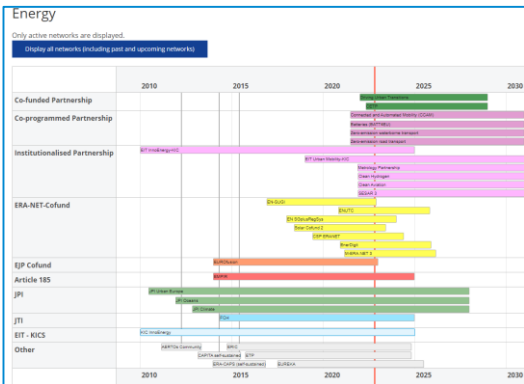
Background information on Partnerships:

- Strategic Coordinating Process
- Annual Reports
- Country Reports
- Facts and Figures



Partnership network database – overview of all former and new Partnerships

- overview on all Partnerships (format, topic...) including predecessors
- individual country's participation
- call calendar: joint calls launched by the Partnerships
- upcoming calls for Partnerships in HE work programmes



Filter by funding framework | Filter by partnership format

Coordinator	Participant
<ul style="list-style-type: none"> CHIST-ERA III (Horizon 2020) CHIST-ERA IV (Horizon 2020) E-Rare-3 (Horizon 2020) ERA-HDHL (Horizon 2020) ERAACS (Horizon 2020) FLAG-ERA II (Horizon 2020) FLAG-ERA III (Horizon 2020) FOSC (Horizon 2020) LEAP & CRI (Horizon 2020) 	<ul style="list-style-type: none"> AXIS (Horizon 2020) AquaticPollutants (Horizon 2020) BiodivClim (Horizon 2020) BiodivErsA3 (Horizon 2020) BiodivRestore (Horizon 2020) BiodivScen (Horizon 2020) BlueBio (Horizon 2020) CONCERT (Horizon 2020)

Joint Calls Calendar

Please note: The database of joint calls and funded projects is depending on data received by the networks.
Please contact us to display your calls: office[at]era-learn.eu, or submit your own call



Horizon Europe Calls for Partnerships

Home / Explore Partnerships / Horizon Europe Calls for Partnerships / 2024: Partnership related Horizon Europe calls

2024: Partnership related Horizon Europe calls

Overview of planned Horizon Europe calls for Co-funded European Partnerships and planned calls to establish new collaborations. These calls will be launched in the Funding & Tender opportunities Portal of the EC.

The Work Programmes are available for download

Title / Call Identifier	Type of Action	Budget	Call closure
Cluster 1: Health			
Pandemic preparedness and response: Maintaining the European partnership for pandemic preparedness/HORIZON-HLTH-2024-DISEASE-08-12	Coordination and Support Actions	2M€	11 April 2024
European Partnership: One Health Anti-Microbial Resistance/HORIZON-HLTH-2024-DISEASE-09-01	Programme Co-funded Actions	100 M€	11 April 2024
Cluster 5: Climate, Energy and Mobility			
Africa-EU CO-FUND action/HORIZON-CLS-2024-D3-01-09	Programme Co-funded	10 M€	16 Jan 2024

Annual Report on Public-Public Partnerships

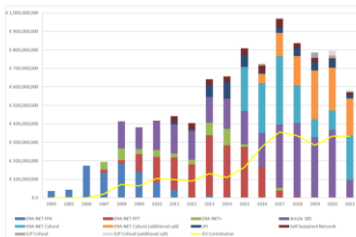
- published since 2015
- detailed analysis, overall trends, good practice examples
- ... relies on data quality!



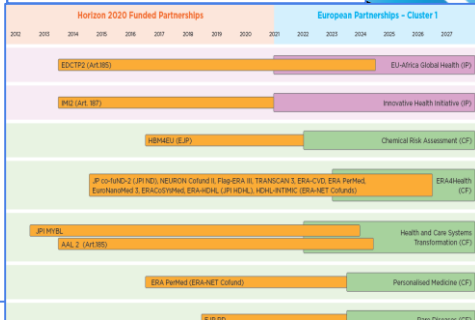
ERA-NET Cofund Calls by Year Call Ended



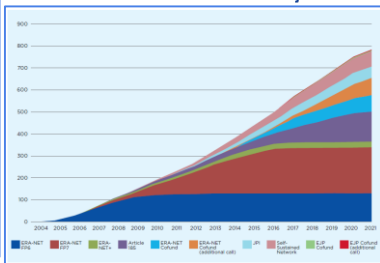
Network Joint Call Commitment (with EU Contribution for co-funding of calls overlaid) for all Calls closed 2004-2021, by Network Type



Transition to European Partnerships



Cumulative Number of Transnational Projects Funded



Supporting the practical implementation of Partnerships

The screenshot shows the top navigation bar of the ERA-LEARN website with the following items: Partnerships in a Nutshell, Explore Partnerships, Support for Partnerships, Documents, and News and Events. Below the navigation bar is a grid of content categories:

Partnerships in a Nutshell	Explore Partnerships	Support for Partnerships	Documents	News and Events
GOVERNANCE, ADMINISTRATION & LEGAL BASE	IMPLEMENTING JOINT CALLS	ADDITIONAL ACTIVITIES & CROSS CUTTING ISSUES	PARTNERSHIPS IN HORIZON EUROPE AND HORIZON 2020	
Governance structure and committees	Call planning & preparation	Alignment	Co-funded European Partnerships	
Agreements	Submission	Coherence & Collaboration	ERA-NET Cofund	
Financial Issues	Evaluation	Foresight	European Joint Programme Cofund (EJPCofund)	
Responsible Research & Innovation	Funding of Projects	Openness & Transparency		
Monitoring and Assessment				

In this section ERA-LEARN brings you information on the implementation of European Partnership governance structures of Europe where these structures are regulated.

We furthermore want to support you with what is behind the idea of responsible Partnerships. Finally, monitoring and assessment in Horizon Europe. Thus, some of the

The screenshot shows the 'Support for Partnerships' page with the following content:

Home / Support for Partnerships / Implementing Joint Calls

Implementing Joint Calls

We have compiled the following guidelines to provide the Partner sound basis for the implementation of transnational calls for proposals information, practical examples and templates. Click on one of the more:

1. Call Planning & Preparation
2. Submission
3. Evaluation
4. Funding Decisions
5. After the Call

The screenshot shows the 'Additional Activities & Cross Cutting Issues' page with the following content:

Additional Activities & Cross Cutting Issues

Home / Support for Partnerships / Additional Activities & Cross Cutting Issues / Coherence & Collaboration

Coherence & Collaboration

Horizon Europe orients R&I partnerships towards the achievement of policy impacts with the expectation that they take a systematic and transformational approach to the achievement of objectives. This means that partnerships need to exploit synergies with other relevant R&I initiatives, including between themselves, and reflect this in their governance models and joint actions.

Taking appropriate actions to ensure coherence and engage in collaboration are therefore important functions for Partnerships. **Coherence** can be defined as the quality of being logical and consistent, the quality of forming a unified whole. **Collaboration** can be envisaged on two main levels:

1. Exploiting **synergies** between European Partnerships and with other EU R&I initiatives to their mutual benefit in achievement of objectives and policy impacts.
2. Demonstrating coordinated efforts with respect to the new Commission priorities and/or the UN Sustainable Development Goals.

Options for collaboration

- Exploiting synergies with other programmes

Related Files

Report: Coherence and Synergies of candidate European Partnerships under Horizon Europe

guiding material
manuals and tools

examples of good practice

- on governance models and legal issues
- on the implementation of joint calls
- on additional activities carried out by the Partnerships

- Alignment
- Coherence & Collaboration
- Foresight
- Openness & Transparency
- Societal and Market Uptake
- Strategic Research and Innovation Agendas
- Synergies with the Cohesion Policy Funds
- Other Additional Activities

Guiding material & information

Governance, Administration & Legal Base

Home / Support for Partnerships / Governance, Administration & Legal Base / Monitoring and Evaluation of European R&I Partnerships: The R²IPE toolkit

Monitoring and Evaluation of European R&I Partnerships: The R²IPE toolkit



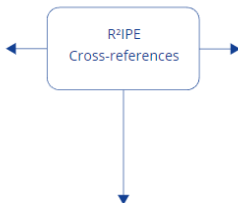
European R&I partnerships as a framework programme, have been vital for both establishing the framework over the years, in addition to

The need for a common framework for research and innovation has been acknowledged. To contribute to the development of European R&I Partnerships, an Expert Group was set up to support the development of a framework for EU Research & Innovation framework and methodology.

Monitoring & Evaluation Basics

- Monitoring system
- Intervention logic - Logic Frame
- Evaluation timing & questions
- Data collection
- Data analysis
- Using the results (quality of report, communication)
- Challenges in M&E of Ps

Each topic including examples and good practice tips



The Horizon Europe approach

- BMR requirements
- Complementarity of the new approach
- The partnership level
- The country level
- The EC level

Each topic including good practice examples and lessons learnt from BMR

Glossary

Further Reading

Tools for internal review of participation in networks

List of criteria to access network participation



- make use of provided material
- participate in events
- subscribe to (bi-)monthly news alert: <https://www.era-learn.eu/newsletter>

The R²IPE toolkit

ERA-LEARN: interaction with community

<https://www.era-learn.eu/news-events/events> Events

❖ annual large events

- Annual Partnership Stakeholder Forum (5-6 Dec 2023)

❖ workshops on specific issues

- Implementing co-funded Partnerships (April 2023)
- Synergies with Cohesion Policy Funds (May 2023)
- Monitoring & Evaluation framework (Sep 2023)



Home / News and Events / Events / European Partnership Stakeholder Forum - One-year review of European Partnership Initiatives in Horizon Europe

European Partnership Stakeholder Forum - One-year review of European Partnership Initiatives in Horizon Europe

Date: 15/11/2022 - 16/11/2022

On 15 and 16 November 2022 the European Commission launched together with ERA-LEARN its first European Partnership Stakeholder Forum. The Forum focused on the review of the first year of partnerships and, in particular, how they can contribute to the twin green and digital transitions, as well as increasing Europe's resilience.

Agenda & video recordings

Day 1 15 November, 2022



Related Files



Summary Results of the 1st European Partnership Stakeholder Forum 2022

Edit

The first Partnership Stakeholder Forum, organised by ERA-LEARN in collaboration with the European Commission brought together more than 250 on site participants, w ...

More ... +

Download



Biennial Monitoring Report (BMR) 2022 on partnerships in Horizon Europe

ERA-LEARN: interaction with community

- register to our news alert:

<https://www.era-learn.eu/newsletter>

Welcome to the ERA-LEARN NEW newsalert!

31/03/2023

Implementation of co-funded partnerships: In-kind activities and in-kind contributions

In a note for the attention of the Strategic Programme Committee, DG Research & Innovation has provided guidance on in-kind contributions. The term "In-kind contribution" is described as non-financial assets that are being put at the disposal of a partnership and which can be used both in the context of external calls (e.g. a research infrastructure) or of in-kind activities (e.g. a dataset for use in a common R&I programme).

[Read more](#)

31/03/2023

Updated version of the draft Annotated Model Grant Agreement

An updated version of the draft Annotated Model Grant Agreement has been published on the EC Funding & tender opportunities portal. On p. 313-317 (updated Annex 5 for Co-funded Partnerships) the specific rules for Co-funded Partnerships are now explained in more detail, including information on the involvement of Research Performing Organisations (RPOs) (Information barrier/ firewall) or on the joint selection list (identical

European Partnerships: policy & governance

Marion Jamard (EC, DGRTD)

Jari Romanainen (Expert Group Rapporteur)

European Partnerships in Horizon Europe

- HE introduces a more **strategic, coherent and impact-driven** approach to Partnerships, and orients them towards the delivery of the EU priorities.
- A new governance framework: the **Strategic Coordinating Process**
 - => provide policymakers with evidence on the impacts and added value of the partnership approach
 - => provide feedback and advice to partnerships themselves on cross-cutting issues
- The **Biennial Monitoring Report (BMR)**
 - => a strong and continuously evolving evidence base to guide the implementation of European Partnerships throughout their life cycles and to inform strategic discussions on Horizon Europe's new policy approach to them.

Biennial Monitoring Report 2022

- **First report** on the 'Performance of the European Partnerships: Biennial Monitoring Report 2022 on Partnerships under Horizon Europe'
 - **Overview** of the new Partnership landscape under HE
 - => Establishes the **basis for assessing their progress** in future reports
- How?
 - => A set of **common indicators** and **analysis of contribution** to EU policy objectives and UN SDGs
 - => **Country fiches** on the 27 EU Member States, Iceland, and Norway performance in Partnerships
 - => **Partnership fiches** on the individual European Partnership performance
- Who?
 - => the independent **Expert Group** on support of the strategic coordinating process
 - => the Common Missions and Partnerships Service at the **DG Research and Innovation**
 - => the MS/AC representatives at the **Partnership Knowledge Hub**

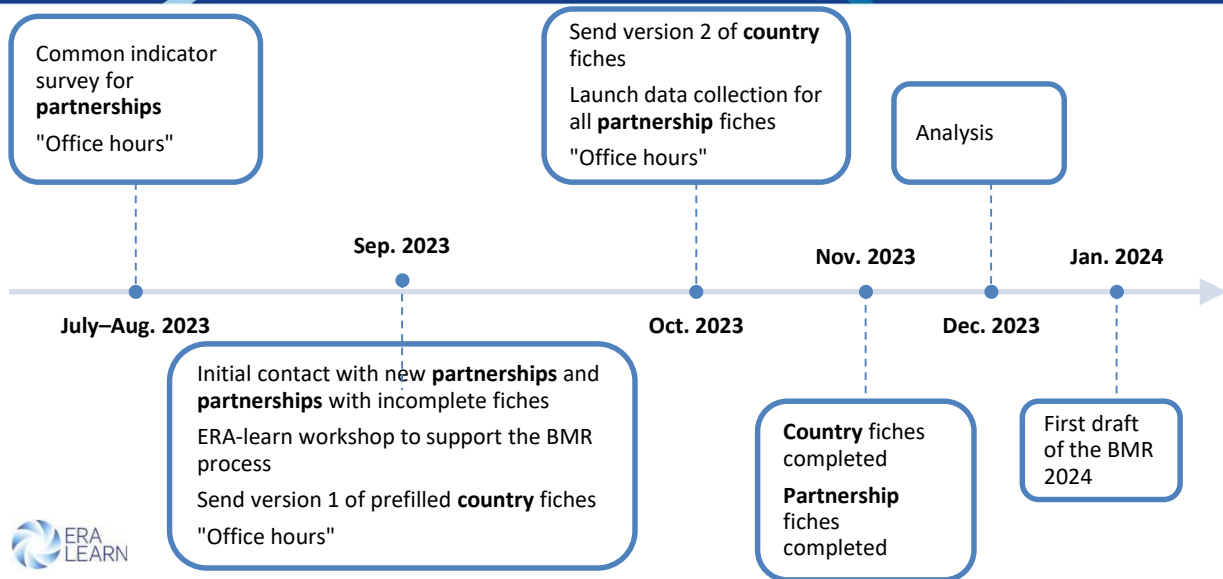


What next? Biennial Monitoring Report 2024

- 2nd mandate of the expert group:
 - => advise the EC in order to further develop an even more integrated and strategic monitoring for Partnerships,
 - => prepare the next Biennial Monitoring Report,
 - => work on issues related to the Partnership portfolio management.
- Same structure, new thematic focus:
 - => International cooperation
 - => Technological sovereignty
- How?
 - => Workshop
 - => Office hours
 - => Bilateral support

Accuracy
Coherence
Comparability
Significance

Indicative timeline



The BMR 2024 thematic focus

Dubravka Skunca (Expert Group)

Common indicators survey: International visibility and positioning

- **Indicator #4: Based on your best guestimate, how much of your overall budget is planned for connections/collaboration with non-European actors?**
- Description: Proportion of the total Partnership budget invested into activities, tasks and work-packages aimed at reaching out to create linkages and establish any sort of collaboration with international organisations and/or entities in non-EU countries.
- **#4 Target: For the total Partnership duration [%]**
- **#4 Baseline: If applicable, H2020 predecessor or based on H2020 historic data [%]**

Common indicators survey: International visibility and positioning

- **Indicator #9: Visibility of the Partnership in European, international policy/industry cycles**
- Description: This would be based on the dissemination activities of the Partnership as a whole and would cover both passive and active communication channels. Information on which countries are attracted by the Partnership could then be estimated for different country cohorts, i.e., EU27, Third countries, Associated Countries, Widening countries, other.
- **Which countries are attracted by the Partnership to become members or associate themselves to the Partnership in some way?**
- Please explain:

Common indicators survey: Thematic focus of the BMR 2024

- **Additional question 1: How relevant is European strategic autonomy/technological sovereignty for your Partnership? Explain:**

Additional question 2: Which of the following additional activities are planned by your Partnership? (multiple choice)

- Communication / dissemination of project results
- Networking of project partners in the same/similar research area
- Capacity building activities
- Mentoring and support of spin off development
- Pre-commercial trials and field tests
- Proof of concept activities
- Standardization or certification activities
- Activities to develop the national/regional ecosystems
- Activities financed by loans of the EIB and not funded under a grant by the EU
- International cooperation not funded under a grant by the Union
- Other (please specify in the box below)

Country fiche: Thematic focus

- **Addressing European strategic autonomy**

(Highlight what is the country's position on how to address European strategic autonomy/technological sovereignty in relation to participation in Partnerships, i.e. safeguard European interests in specific research and innovation areas, placing particular emphasis on collaborating with certain countries instead of others, etc.)

Partnership fiche: Thematic focus

- What are the specific activities through which Partnership is pursuing pathways to technological sovereignty?
- How can Partnership take advantage of the collaborative agreements that the EU has with countries which are research and innovation leaders? Other countries? Which opportunities or leverage are supported or created?
- Partnership may share 'success stories' and/or examples.

Technological Sovereignty

- The ability for Europe to protect and retain critical technologies for the welfare of European citizens and prosperity of businesses, and the ability to act independently in a globalised environment.

Three key elements:

- **Technological:** the development of European R&D competencies by maintaining a knowledge base, industry, and networks in the critical technologies;
- **Economic:** turning R&D into market products, access to resources along the value chain, reducing dependence on third countries;
- **Regulatory:** the development of adequate policies to influence global regulation.



First biennial report on the implementation of the Global Approach to R&I – The international dimension of Horizon Europe

- The EC and **New Zealand** signed the association agreement on the participation of New Zealand to Horizon Europe (HE) on July 9th, 2023.
- Negotiations with **Canada** are ongoing, and the launch of negotiations with the **Republic of Korea** was announced at the EU-Korea Summit in May 2023.
- The possible launch of negotiations is under consideration with **Japan**.
- The EU promotes international access programmes within the **G7 Group of senior officials on global research infrastructures**.
- In 2022, the Commission and the Member States supported the **Brno Declaration**, which fosters a global ecosystem of research infrastructures.
- **Marie Skłodowska-Curie actions** are the most international component in HE.



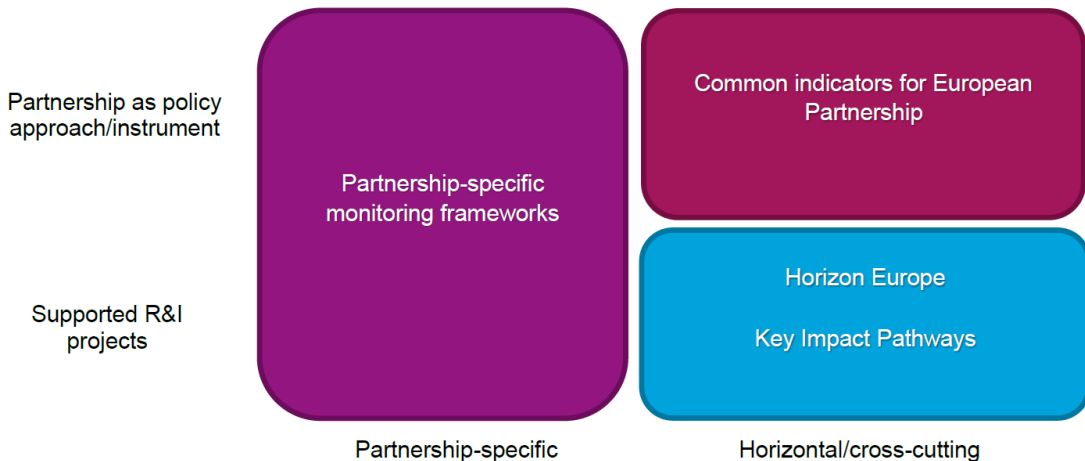
First biennial report on the implementation of the Global Approach to R&I – Modulating cooperation with priority countries and regions outside Europe

- Joint roadmap for EU-China cooperation in science, technology and innovation
- Joint AU (African Union)-EU innovation agenda
- EU-US Trade and Technology Council
- Canada, New Zealand and the Republic of Korea – HE
- EU-India Trade and Technology Council
- EU-CELAC strategic roadmap on science, technology and innovation for 2021-2023
- ASEAN-EU strategic partnership

New monitoring framework: the common indicators survey

Effie Amanatidou (Expert Group)

Figure 1: The systemic approach to monitoring and evaluation of Partnerships



Set of specific indicators addressing the following Horizon Europe objectives for European Partnerships (Annex 1 of Second Interim Report)

- Additionality and directionality
- International visibility and positioning
- Openness and transparency
- Coherence and synergies

Clearly explained and defined – baselines / target / progress

Focusing on data that is not available in eCORDA

Survey questions that are understandable and not too complex with respect to the data needs

A good balance of fixed answer fields and open text fields

EUSurvey tool still suggested – but a more sophisticated tool recommended

Additionality and directionality

Indicator #1 Progress towards (financial and in-kind) contributions from partners other than the Union - i.e., committed vs. actual contributions [direct leverage]

- target for the whole partnership duration / H2020 baseline / progress (until August 2023 or latest available data)
- in-kind for Cofunded: all other except FSTP / in-kind for IPs: IKAA / in-kind within funded projects not that relevant

Indicator #2 Broader investments beyond the contributions from partners and triggered by the partnership that contribute to achieving their objectives

- Description: Qualitative: additional activities or investments triggered by the partnership (not as part of the partnership but in addition to it). These can include, e.g., private investments in training or activities required for putting on the market the product/service which results from the European Partnership, or public investments mobilised from other EU/ national / regional programmes (e.g., ERDF, CEF).

Additionality and directionality

Indicator #3 Overall (public and private; in-kind and financial) investments mobilised into EU priorities

- Description: Quantitative. Percentage
- Several ways of estimating (SRIAs, calls' thematic focus and budgets, internal short discussion) but please clarify in the comments section which one you use!
- Relevant documents for EU priorities' definition in the FAQs document in your space in MS Teams

Indicator #10: Alignment of national / regional / sectorial policies (strategic level)

Description: Qualitative. Alignment of policies and strategies can be illustrated by the degree to which national policies/priorities are reflected in the SRIAs and the degree to which the SRIAs influence national policies and strategies. This is also relevant for SRIAs and sectorial policies/strategies. Any structural impact should also be cited here e.g., creation of coordination structures at national level of participation of the country in Partnerships.

International visibility and positioning

Indicator #4 : Based on your best guestimate, how much of your overall budget is planned for connections/collaboration with non-European actors?

Description: quantitative (share) This can be calculated in several ways:

- **At the programme level, if there is a special work-package in the partnership's work-programme dedicated to reaching out to non-European actors/countries, or there are discrete such activities that can be easily budgeted, OR if there is a non-European country (e.g. Israel) and makes a concrete contribution to the programme,**
- **At the project level, calculating the share of the project budgets that go to activities in/with non-European countries/actors**

Please specify which way you use to estimate which part of the figure you give, e.g that part of the figure is at the programme level and that part (or a separate figure) is at the project level.

By non-European actors we mean actors in the Associated or Third countries.

International visibility and positioning

Indicator #9 Visibility of the partnership in European, international policy/industry cycles

Description: Qualitative. We refer either to policy cycles or industry cycles. The former is more relevant to partnerships where Member States or Associated Countries have an official role in jointly designing the partnership's programme and contributing to the budget. The latter is more relevant for partnerships where industry plays an important role. In such cases an industry might be interested in joining the partnership. Such information may also lie with the relevant EC officials.

Openness and transparency

Indicator #5 Measures ensuring continuous openness and transparency

Description: Qualitative

* 5a Do you have measures in place for a transparent and open involvement of stakeholders and all EU and associated countries, and for attracting newcomers? If no, when are they expected to be in place?

* 5c What are the most important measures in 2023 for involving various types of stakeholders and countries? (multiple choice)

Indicator #6: Membership in partnerships, including geographical coverage

Description: In order to establish the originally represented countries and organisation types, please upload here an Excel table listing all current partners of your partnership. Co-funded European Partnerships should report on the all types of members, e.g. Ministries, Funding Agencies, RTOs, private entities, etc. Co-programmed and institutionalised partnerships with associations representing the private or public members should report on the members of the association.

Coherence and synergies

Indicator #7a Coordinated and joint activities with other European Partnerships and EU Missions

Description: Qualitative.

* Please select the other European Partnerships and EU Missions with which you have established structured cooperation, e.g., joint or coordinated calls, priority setting, etc. [multiple choice, mark the names]at most 49 choice(s)

* Which of the following activities have you carried out jointly with other Partnerships or EU Missions until now (Aug 2023)? (multiple choice)

Indicator #7b Synergies with other EU programmes (multiple choice of EU programmes and explanation of synergies)

Coherence and synergies

Indicator #8 Complementary and cumulative funding from other Union or national/regional funds (national/regional, ERDF and other cohesion policy funds, RRF, CEF, DEP, etc.)

Description: Target – Baseline – Progress (Yes/No and share of partnership total budget)

Existence of complementary and cumulative funding from other Union or national funds. If Partnerships have annual budgets for all activities (i.e., management, calls, other activities) there should be possibility to record the different sources of funds for the total of these activities. It is necessary that MS provide relevant information, e.g., via MS governing or advisory bodies.

Additional questions for the Horizon Europe interim evaluation

- **If your partnership uses cascade funding, what is your feedback based on your experience?**
(By cascade funding, we mean financial support to third party. It is generally done by co-funded partnerships, but could also be done by co-programmed, would you have co-fund actions in the work programme.)
- **If your partnership does not use eGrants: What have been the average Horizon Europe values for Time to Grant, Time to Inform and Time to Sign (incl. shares of grants that met targets)?**
(The eGrants system is the electronic grant management system of the European Commission (Funding & Tenders Portal).
- **Do you have suggestions for simplifying the partnership reporting processes or templates?**

Additional questions due to thematic focus

Additional question 1: How relevant is European strategic autonomy/technological sovereignty for your Partnership? (qualitative)

Additional question 2: Which of the following additional activities are planned by your Partnership? (multiple choice)

New monitoring framework: requirements for Member States / Associated Countries

Ülle Napa (Expert Group)

Partnerships monitoring in Horizon Europe

- In Horizon Europe, **partnerships monitoring will be fully integrated in the overall monitoring and reporting system of Horizon Europe**, as required in Horizon Europe Regulation, Article 50 and 52 and Annex III.
- **This means that aggregated project-level information for partnerships related projects will be available** on CORDA and the Horizon Dashboard to the public as the rest of Horizon Europe.

Data collection at country level – different needs and actors

- Some **additional data still needed at country level** – therefore impossible to avoid additional data collection
- Very helpful to have **national level data collection process** – centralised vs decentralised systems – both OK.

At national level **different stakeholders are part of the process:**

- Member states & associated countries (ministries)
- National funding organisations

Therefore, close interaction with national funding bodies & ministries will be required

Roles – who gathers data?

European Commission:

- Quantitative data of participation in partnerships

Countries:

- Qualitative data of participation in partnerships (success stories, added value (country fiche example)).

In addition provide input to Common Indicators via EU Partnerships and country fiche (Especially for indicators #1, #2, #8, #10)

Roles – who does the data analytics?

European Commission:

- Cross-cutting analysis across countries;
- Individual fiches with quantitative data.

Countries:

- Could provide additional input to some Common Indicators;
- Qualitative analysis for individual fiches;
- National level additional analysis (e.g. How EU Partnerships provided input to national level priorities, input to national policy goals etc).

Summary of the process

Table 19. Proposed responsibilities for data gathering, stirring and elaboration for future BMRs

Data on...	Tasks			Timing
	Filling in	Gathering & Storage	Elaboration	
Quantitative data on country fiches (project level data about participation in partnerships, data regarding Horizon Europe Key Impact Pathways and data related to common indicators)	EC/ Expert Group	EC	EC / Expert Group preparing BMR	Biennially
Qualitative data on country fiches (success stories from national perspective, added value of partnerships, impacts at national level on participation in partnerships, related policies, investments.)	MS/AC	EC / Expert Group preparing BMR	MC/AC	Biennially
Validation of data on country fiches	EC/MS/AC/ Expert Group	EC		Biennially

Common indicators also relevant at country level

- # 1. Progress towards (financial and in-kind) contributions from partners other than the Union - i.e., committed vs. actual contributions at contract signature.
- # 2. Broader investments beyond the contributions from partners and triggered by the partnership that contribute to achieving their objectives.
- # 8. Complementary and cumulative funding from other Union or national/regional funds (Horizon Europe, National funding, ERDF, RRF, Other cohesion policy funds, CEF, DEP)
- # 10. Alignment of national / regional / sectorial policies (strategic level)

Partnership monitoring under Horizon Europe

Focus on individual partnership monitoring within the Biennial
Monitoring Report

Michael Doods (Expert Group)

In summary:

Programme-level indicators:

- Common Indicators
- Key Impact Pathway Indicators

Disaggregated levels indicators

- Country level (Member States / Associated Countries)
- Individual Partnerships

Basic inputs

- Intervention logic(s) ; List of operational, specific and general objectives (from MoU / draft proposal stages); pre-existing monitoring systems (especially if predecessor(s))

Issues encountered when analyzing partnership basic inputs during BMR 2022 process

- Wide variety of graphs / schemes to depict intervention logic
- Different and difficult interpretation of operational / specific / general (too abstract)
- Lack of connection between objectives and indicators
- Confusion between objectives, indicators and units of measurement
- Causality of chain between inputs/actions/resources > outcomes > impacts > link to broader policy goals (SDGs, Twin Transition, others)
- Too many indicators, abstract & overly complicated frameworks
- Different maturity levels between partnerships

EJP RD example – draft proposal

The proposed General Objectives (GO), which correspond to long-term IMPACTS, are:

- GO1: Optimal generation and translation of knowledge into meaningful and accessible health products and interventions that respond to the needs of patients living with a rare disease across Europe and globally.
- GO2: Unlock the full potential of healthcare and research data in the digital era.
- GO3: Timely, equitable access to innovative, sustainable and high-quality healthcare by virtue of a highly integrated research and healthcare system.
- GO4: More effective outcomes from different types of collaborations: public-public cooperation (EU public funding and policies with national and regional public funding and policies) as well as cooperation with civil society (e.g., patients) and the private sector (industry, SMEs, NGOs).

⇒ These are all rather outcomes than impacts – see also intervention logic where they are actually drilled down into specific objectives which are more impact driven (SO5, SO7).

Key objectives

- Provide harmonized framework to internal and external stakeholders (including non-experts) to present partnership key objectives and intended results (KPIs)

Implications

- Strategy map logic & focus on key objectives (“Partnership Specific Impact Pathways” or PSIPs)
- Limit number of pathways and objectives
- Understand key interactions between pathways and objectives
- Use similar design language for PSIPs
- Establish strong link between PSIPs and selected indicators (“two sides of the same coin”)
- Less is more approach (limit to max. 15 to 20 indicators)

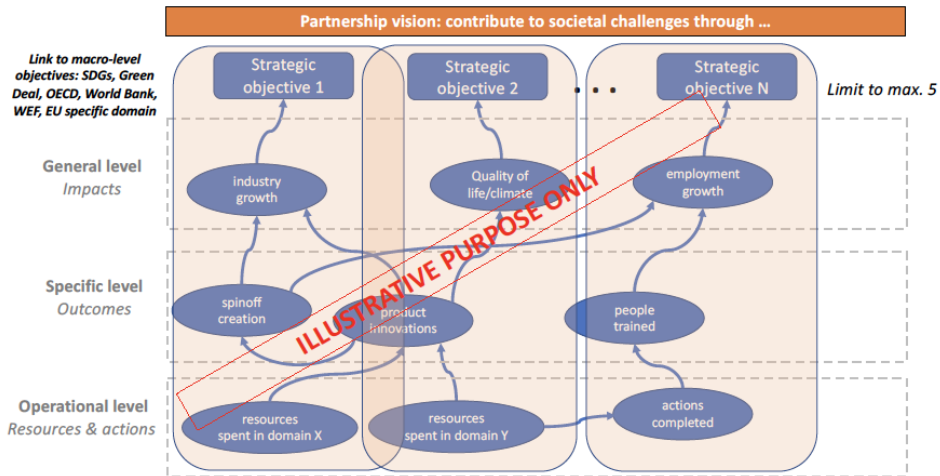
Data request (standardized MS Word and MS PowerPoint template)

- Basic identity data (MS Word)
- Shortened mission and vision statement (MS Word)
- PSIPs graph (MS PowerPoint)
- KPI Table (MS Word) – hard limit! (1 A4)
- Qualitative information (MS Word) – thematic content

Data process:

- Interactive process with individual Expert Group member(s)
- Account management approach
- Dedicated MS Teams channel per partnership, e-mail, ...
- Typically 2 to 3 interactions in a period of 6 weeks to 2 months

Strategy Map (or PSIPs) – vertical logic

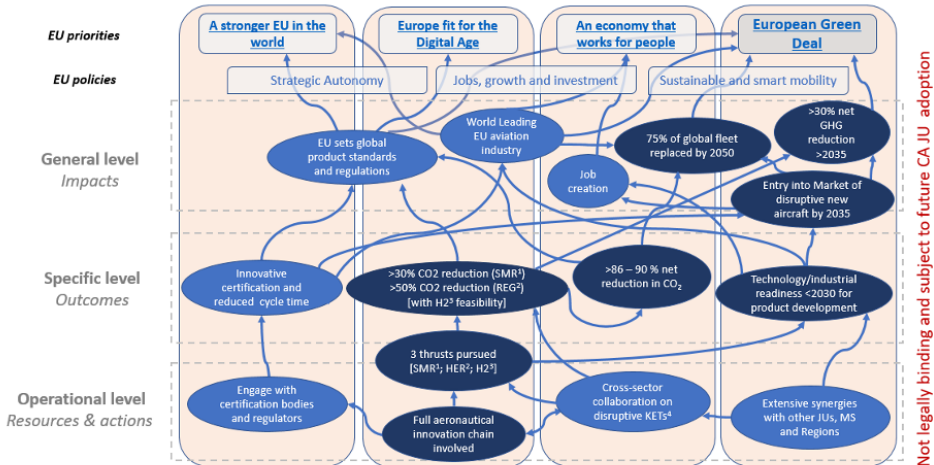


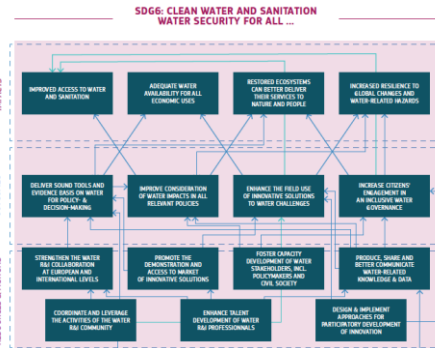
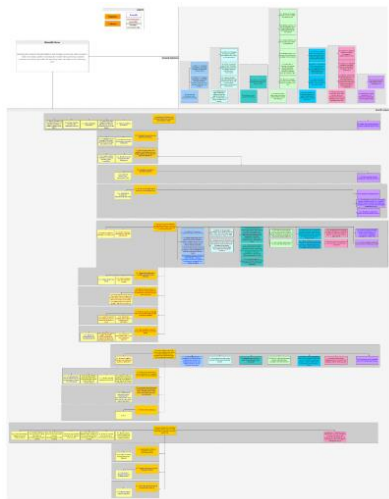
Partnership Specific Impact Pathways (PSIPs) (Limit to 3 to max.5 with link to vision and macro-level)

Note: this concept relates closely to the 'intervention logic' – these could serve as the basis

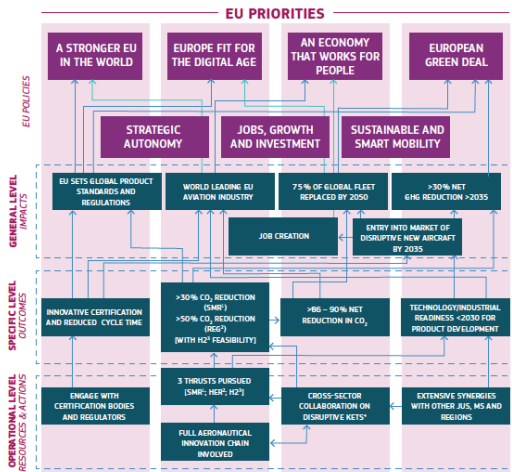
Clean Aviation vision:

drive the transition to a climate neutral air transport system by 2050 system by...





* The targeted long-term impacts refer to the components of water security proposed by UN-Water (2013) for the sustainable development agenda. https://www.unwater.org/app/uploads/2017/09/unwater_gaster_Oct2013.pdf



¹SMR: ultra-efficient Short-Medium Range aircraft; ²HER: Hybrid-Electric Regional aircraft; ³H₂: disruptive technologies to enable hydrogen-powered aircraft; ⁴KETS: Key Enabling Technologies

Seek Consistency



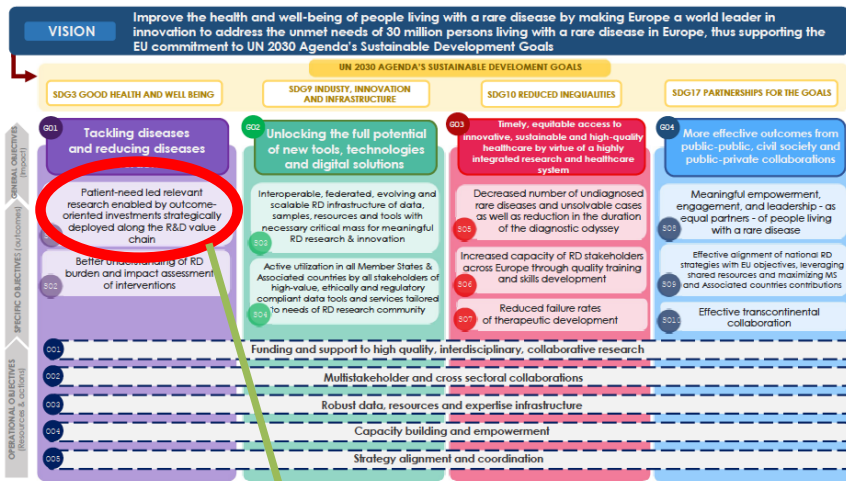
KPI NAME	UNIT OF MEASUREMENT	BASELINE	TARGET 2023	TARGET 2025	TARGET 2027	TARGET >2027
RESOURCES (INPUT), PROCESSES AND ACTIVITIES						
Newcomers (cross-over from non-aeronautical domains)	# and funding (euro)	N/A	TBD	TBD	TBD	TBD
Country participation (EU 27 and associated countries)	#	H2020 evaluation for first year level	TBD	TBD	TBD	TBD
Collaboration and Synergies	# and funding leveraged	H2020 evaluation or first year level	TBD	TBD	TBD	@ end of programme: >€100 m ¹
<ul style="list-style-type: none"> • within Horizon Europe • within other EU Budget • with national programmes • regional programmes (RIS3) 			TBD	TBD	TBD	TBD
Leverage effect from private sector contribution	# (defined as private sector contribution divided by the EU contribution)	H2020 evaluation or first year level	TBD	>0.41	>1.0	>1.41 (@ end of programme)
OUTCOMES						
Technology Readiness Levels	Critical technologies reaching TRL6 by 2030	H2020 evaluation or first year level	0	0	TBD	TBD
Demonstrated CO ₂ emissions reduction potential	%	2020 state-of-the-art technology				(> 20%)
<ul style="list-style-type: none"> • from SMR¹⁰ • from HER¹¹ 			N/A	N/A	N/A	>30% >50%
IMPACTS						
Net GHG emissions reduction	%	compared to 2020 state-of-the-art	N/A	N/A	N/A	>30% (>2035)
Market deployment of CA solutions (manufacturing ready)	# solutions	TBD	Minimum 2 new aircraft (order by 2030, delivery by 2035)			
Fleet renewal	% (of the global fleet)	TBD	TBD	TBD	TBD	75% (>2050)
Time to Market Reduction (TTMR)	%	2020 certification processes	TBD	TBD	TBD	50% (2030)
Cost reduction of certification	%	2020 certification processes	TBD	TBD	TBD	30% (2030)
EU aeronautics leadership	Global market share in leading technologies	2020 market share				EU aeronautics maintains its 2020 global market share

¹ with 5 JUs; 2 Cluster RA/ WP areas

² SMR: Short-Medium Range aircraft

³ HER: Hybrid Electric Regional aircraft

EJP RD: on the right track, but...



- Reduce amount of text
- Bring in logical pathways from resources and actions to outcomes and impacts
- Maybe lose one of the streams (proposal SDG 17) or integrate elsewhere (seems difficult to measure)
- Be more concrete on the level of actions and resources & link to pathways
- Select most meaningful / impactful elements from table 2 targets

E.g. How to measure? What is the exact objective?

Process:

(1) New partnerships: introductory meeting + interactive process following the method applied for BMR 2022

(2) Existing partnerships:

(a) Major revision: essential components to change (e.g. PSIPs, fundamental rework of KPI table)

=> inform the expert in charge to have a meeting on proposed changes

(b) Minor revision: just adding information or incremental changes

=> submit the input request based on content of existing/published fiche

(c) Incomplete fiche: proactive invitation by experts to submit the missing pieces + review process

Lessons learnt from assisting the partnerships and countries in the last BMR

All expert group members

Partnerships

Michael Dooms, Henric Johnson, Krzysztof Gulda

Attention points:

- Internal governance processes for approval + stakeholder consultations (KPIs tend to be sensitive matter) – plan upfront / allow sufficient time for the framework to mature
- BMR data and information is not legally binding, just needs consistency with other formal, contractual documents
- Partnership fiche w/ embedded interactive links to attract stakeholders to read other partnership outputs and documents (e.g. own impact reports, annual reports,...)
- Internal resources and competences needed to set up monitoring systems – avoid ‘box ticking’ exercises – involve outsiders to get new perspectives (but be careful with consultants, keep ownership and avoid management bloat)
- No need to seek maximum consistency or copying common indicators (partnership fiches and indicators provide the bottom-up perspective) – include when relevant to reach objectives (e.g. synergies, newcomers, international/global presence,...), or specify (e.g. focus on specific category of newcomers).

Countries

Ülle Napa, Jari Romanainen, Effie Amanatidou

Challenges – lessons learnt

- **The rate of return issue** – there is no ‘return’ as MS/ACs do not invest up front in partnerships as they do in the framework programmes. The national and EU contributions to project funding should be able to calculate as preliminary values at the time of signing the contracts of approved projects. This would be a means to estimate the ‘leverage’ effect of the national funds, i.e., the level of EU funds awarded and topping-up national funds.
- **Data** to some extent centrally available, consistency, comparability.
- **Close ongoing consultation important with MS/ACs** - strongly iterative process (emails, office hours, MS teams space) in helping them review and finalise pre-filled country fiches.
- **Country fiche – rather a process** (also at national level) than just a paper. How to achieve that?
- **Due time notifications to MS/ACs** to allocate the time and resources needed - depending on the country, this might mean involving many different actors and organisations.
- **Pilot exercise** (to be turned into an ‘adjustment’ exercise in future BMRs).

Country fiches – lessons learnt (process)

- **Principle – Co-design process** was well received. Continuously involve EC, MS/AC and other relevant actors through the process.
- **Data collection will be dependent on national level monitoring system** and willingness to participate in this process.
- If possible, create/maintain the **national monitoring system**, that should be complementary to the EC one.
- **Synchronisation** of national systems with the needs and timeline of BMR **takes time** (years?). This process needs much support.
- **Adjusting the national level monitoring system needs to be discussed** more widely – Could monitoring system also include monitoring of EU Missions and ERA priorities?
- Majority of countries are still developing their national level monitoring systems and it is continuous process

Country fiches – lessons learnt

- A **national coordination system**/process could be seen as a **hub for collaboration**.
- **Good quality data** is the basis for further monitoring and coordination activities - difficulties on obtaining necessary data for the country fiches could be observed.
- Some countries have already established national level support/mirror groups for EU Partnerships (HR, ES, FR, EE, PL etc.). Yet it remains sometimes **unclear how best to exploit these groups for the benefit of national monitoring and coordination activities**.
- Very clear added value of EU Partnerships for countries. **EU Partnerships** are very often seen as **stepping stones to Horizon Europe** other calls, a **way to address national level priorities**, increasing interest for **synergies** between European Partnerships and other (national level) funds etc.
- Added value of country fiches: evidence-based decisions; making a case for the value of partnerships seeing also how other countries perform; trigger to improve national coordination and national monitoring systems.

Next steps & wrap up

Marion JAMARD (EC, DG RTD);
Inga Elizabeth Bruskeland (ERA-LEARN)

Timeline and support

- **Office hours**
- **FAQs document regularly updated**
- **Timeline for Partnerships**

Outreach to all partnerships: 2nd half of September 2023

Preparation of inputs: October – Half November 2023

Review phase: Half November – Half December 2023

- **Timeline for MS/AC**

Pre-filling of country fiches (quantitative data, 1st page) – second half Sept

Outreach to all MS/AC: 1st week Oct

Preparation of inputs by MS/AC: October – Half November 2023

Review phase (2 rounds): Half November – Half December 2023

Further information: <https://www.era-learn.eu/support-for-partnerships>

Events: <https://www.era-learn.eu/news-events/events>

Newsalert: <https://www.era-learn.eu/newsletter>

Thank you!

<https://www.era-learn.eu/>