

JTC Cofund

Lessons learnt from the ERA-NET Cofund E-Rare-3



E-Rare Coordination Unit @ ANR
ERARECoord@agencerecherche.fr

Coordinator: **Daria Julkowska**

Project manager: **Natalia Martin**

Communication manager: **Juliane Halftemeyer**



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AGENCE NATIONALE DE LA RECHERCHE
ANR

- Earmarked budget gives the right to receive EC contribution, which is calculated based on the national contributions indicated by funding agencies

For E-Rare: 9 557 750 € → 4 707 750 € (without Unit costs)

Final budget received by the EC depends on the national budgets spent for funding the selected research projects !



- Statistics and analysis of previous E-Rare calls during the preparation of the E-Rare-3 proposal & Cofunded joint call → decisions taken for budget optimisation
 - Fix budget limitations per project
 - Associated partner ‘secure’ their budget in order to avoid future gaps
 - Widening concept for the optimization of budgets of less represented countries in previous calls
 - applicants are encouraged to **include partners from the participating Eastern European countries** (Hungary, Latvia, Poland, Romania and Turkey). If they include such partners, the maximum number of partners can be increased to **seven** or **eight**



- Budget optimization during the call
 - Increase of national budgets after the 1st evaluation step (does not increase EC contribution) if oversubscription factor is too high
 - Increase of national budgets after the establishment of the ranking list
 - Other options:
 - Budget cuts in selected projects
 - Transfer of post-doc/PhD needs between the partners in the project → ex. FR had limited budget and IT proposed to ‘fill in’ for FR demands of post-doc or PhD in FR-IT projects → Italian research groups receive budget necessary to hire PhD or post-doc that will work in FR



- Reasonable efforts to match national funding with success expected in each country
- Principle of fair return → Maximize the number of proposals to be funded
- Not more than 50% of the EC contribution (Real Common Pot) should be used for gap filling
- The total funding taken from the Real Common Pot, received by the *Party* shall not exceed the total national contribution provided by this *Party* to research projects
- This principles will be re-evaluated if ranking list is blocked → common decision of CSC
- The final amount of the Real Common Pot will be established only once the sum necessary to cover the management costs is subtracted from the total EC provision



- 21 Proposals recommended
- 121 Research groups
- Total budget requested: 21,445 Mio. €
- **3,6 Mio. €** EC contribution (excluding management budget)

Without EC contribution, a maximum number of 10 proposals would have been funded with 7,68 Mio. €



- JCS did a great job in simplifying the complex situation of the ranking list
- Germany, Italy, France increased their budgets
- France applied budget cuts
- Italy took in charge funding of FR PhD or post-docs in 3 FR-IT projects

21 Proposals recommended → 19 projects funded

121 Research groups → 111 research groups funded

Total budget: 19,35 Mio. € including 2 979 990 Mio. € from CA and CH

Partners efforts + EC contribution made possible to increase the number of funded proposals compared to previous E-Rare calls

As result ≈ 3 Mio. € EC contribution (excluding management budget) will be used



	Austria FWF	Belgium FNRS	Belgium FWO	Canada CIHR	Canada FRQS	France ANR	Germany BMBF/DFG	Greece GSRT	Israel CSO- MOH	Italy IT MoH
earmarked	750 000	240 000	400 000	2 135 000	360 000	2 370 000	4 800 000	500 000	240 000	1 500 000

funded	743 330	184 800	523 500	2 045 353	360 000	3 461 922	5 462 819	247 000	180 000	1 891 850
EC top-up	74 333	18 480	123 500			1 091 922	662 819	24 700	18 000	391 850
EC- Reimburse- ment %	10,0%	10,0%	23,6%			31,5%	12,1%	10,0%	10,0%	20,7%
EC % of spent	2,5%	0,6%	4,1%			36,4%	22,1%	0,8%	0,6%	13,1%

	Netherlands ZonMw	Poland NCBR	Portugal FCT	Romania UEFISCDI	Switzerland SNSF	Spain ISCIII	Turkey TUBITAK
earmarked	1 800 000	500 000	325 000	500 000	820 000	500 000	1 000 000

funded	2 225 581	360 000	374 712	134 040	484 990	339 774	325 550
EC top-up.	425 581	36 500	49 712	13 404		33 977	32 555
EC- Reimburse- ment %	19,1%	10,1%	13,3%	10,0%		10,0%	10,0%
EC % of spent	14,2%	1,2%	1,7%	0,4%		1,1%	1,1%


Total EC contribution redistributed	2 997 333
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- All partners received a minimum of 10% of EC contribution
- The rule of the maximum funding taken from the Real Common Pot, received by the *Party* shall not exceed the total national contribution provided by this *Party* to research projects was respected
- The rule of not more than 50% for gap filling was respected although it was put in danger due to the low budget of ANR and very high success rate of FR teams



- Widening concept → possibility to open research projects after 1st step of evaluation to countries that risk to underspend their budget
- Waive on the reimbursement → some countries decided to not to profit from the EC contribution (since their final budget was matching and there was no need for gap filling)



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 - Initial earmarked budgets were increased thanks to the EC reimbursement
 - More funded projects thanks to EC contribution
 - Widening concept: our efforts helped increasing the number of funded teams in previously underrepresented countries (4 PL, 3 TK, 1 RO)

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 - Management budget: Unit costs + Black box model was difficult to put in place
 - EC contribution valid only for one call

Thank you!

